

Barnet, Enfield and Haringey   
Mental Health NHS Trust

**BARNET, ENFIELD AND HARINGEY  
MENTAL HEALTH NHS TRUST  
CHARITY**

**Annual Report**

**Year ended: 31 March 2016**

**Charity Registration Number: 1103407**

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**Report of the Trustee  
for the year ended 31 March 2016**

**Foreword**

The Annual Report of Barnet, Enfield and Haringey Mental Health NHS Trust Charity has been prepared in compliance with the current requirements for a registered charity with a gross income below £250,000 per annum.

The Board of the Barnet, Enfield and Haringey Mental Health NHS Trust acts as sole corporate trustee in the administration of the Barnet, Enfield and Haringey Mental Health NHS Trust Charity.

The Trustee is not remunerated.

The Trustee is not reimbursed for expenses.

Members of the NHS Trust Board who served during the financial year ended 31 March 2016 and up to the date the accounts were signed were as follows:

Michael Fox	Chairman
Maria Kane	Chief Executive
Mary Sexton	Executive Director of Nursing, Quality & Governance
Dr Jonathan Bindman	Medical Director
Simon Goodwin	Chief Finance and Investment Officer
Andy Graham	Executive Director of Patient Services
Mark Vaughan	Executive Director of Workforce
Catherine Jervis	Non-Executive Director
Charles Waddicor	Non-Executive Director
David Griffiths	Non-Executive Director (until 20 May 2015)
Christine Harvey	Non-Executive Director
Cathy Hamlyn	Non-Executive Director
Paul Farrimond	Non-Executive Director
Rebecca Harrington	Non-Executive Director (until 3 January 2017)
Ruchi Singh	Non-Executive Director (from 16 January 2017)

The Charitable Funds are registered with the Charity Commission (charity registration number 1103407) in accordance with the Charities Act 1993.

**Reference and Administration details**

Barnet, Enfield and Haringey Mental Health NHS Trust Charity was established on 26 April 2004. At 31 March 2016, the Charity consisted of 1 unrestricted fund, 4 restricted funds and 3 endowment funds.

## **Barnet, Enfield and Haringey Mental Health NHS Trust Charity**

### **Trustees**

The NHS Trust Board devolved responsibility for the on-going management of funds to the Trust & Charitable Funds Committee. The Trust & Charitable Funds Committee was formed on 1 February 2006 with the purpose of administering the funds on behalf of the corporate trustee.

Members of the Trust & Charitable Fund Committee who served during the financial year ended 31 March 2016 and up to the date the accounts were signed were as follows:

David Griffiths	Chairman (until 20 May 2015)
Simon Goodwin	
Cathy Hamlyn	
Charles Waddicor	Chairman (since 20 May 2015)
Christine Harvey	
Andy Graham	

### **Principal Office**

Barnet, Enfield and Haringey Mental Health NHS Trust  
Trust Headquarters  
St Ann's Hospital  
St Ann's Road  
Haringey  
London  
N15 3TH

### **Principal Professional Advisors**

#### **Auditors**

Ernst & Young LLP  
1 More London Place  
London  
SE1 2AF

#### **Bankers**

Barclays Bank PLC  
1 Churchill Place  
London  
E14 5HP

#### **Solicitors**

Bevan Brittan  
Fleet Place House  
2 Fleet Place  
Holborn Viaduct  
London EC4M 7RF

### **Structure, Governance and Management**

Barnet, Enfield and Haringey Mental Health NHS Trust is the sole corporate trustee. The Chairman, Chief Executive, six Non-Executive Directors and five Executive Directors make up the Trust's Board of Directors.

Non-Executive Directors are usually local people whose wide range of experience and skills contribute to the effective management and accountability of the Trust. They are not employees of the Trust and are appointed by the Secretary of State. The Board manages the Trust, with the Chief Executive being responsible for the overall running of its services.

When a new Director is appointed by the Trust, an induction is carried out for that new Director. Part of that induction gives the new Director a full understanding of the legal status and function of the charity, the methods of operation and the responsibilities of the Corporate Trustee. Information on the Charities background, its aims and objectives and its internal procedures are provided.

Each year the Charity reviews project ideas and decide on which schemes to approve reviewing the purposes of the Charity as a whole and ensuring that individual funds objectives are consistent with this.

The Trust's business is conducted through bi-monthly public meetings and bi-monthly Board workshops. The role of the Trust Board is to:

- Set the overall strategic direction of the Trust
- Monitor the Trust's performance against objectives
- Provide effective financial stewardship through value for money, financial control and financial planning
- Ensure through clinical governance that the Trust provides high quality, effective and patient-focused services
- Ensure high standards of corporate governance and personal conduct

Acting for the Corporate Trustee, the Trust & Charitable Funds Committee is responsible for the overall management of the Charitable Funds.

The objective of the Trust & Charitable Funds Committee is to ensure that charitable funds are invested and spent in accordance with external and internal procedures, policies and legislation, that appropriate guidance is in place to govern all operational issues relating to the funds, and to review charitable activities.

Each fund has a manager with delegated powers to manage the fund.

The Finance Department of Barnet, Enfield and Haringey Mental Health NHS Trust provide a financial management and administration service. The Finance Department has delegated responsibility for the administration of financial control over the Funds and for providing reports to fund managers.

## **Barnet, Enfield and Haringey Mental Health NHS Trust Charity**

### **Public Benefit**

The Charity provides a public benefit because of the way it uses its resources to improve the healthcare of the local community, either for patients who are mentally ill or for community services patients. It assists Barnet Enfield and Haringey Mental Health Trust in providing an efficient and effective service.

### **Objectives of the Charity**

The Governing Document of the charity is the Charity Commission's 'Model Declaration of Trust' for an NHS Umbrella Charity, and this complies with the Public Benefit requirement.

Section C of this declaration states that the object of the charity is as follows:

*The Trustee shall hold the Trust Fund upon trust to apply the income, and, at its discretion so far as may be permissible, the capital, 'for any charitable purpose or purposes relating to the National Health Service'.*

Funds held by the charity are used for:

- the relief of patients in financial need, patients who are mentally ill
- the advancement of education
- other purposes beneficial to staff and patients not falling under the other two categories mentioned

### **Sources of Income**

The charity received its income in 2015/16 as follows:-

- Donations from the public
- Interest earned from the COIF Deposit Fund

### **Activities and achievements during the year**

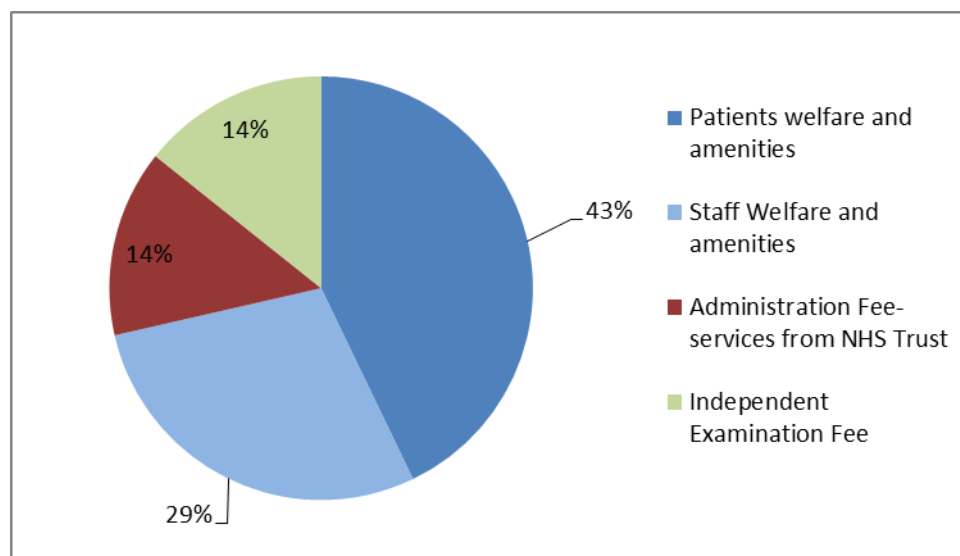
The objectives of the charity were successfully met in 2015/16.

Total income received in the reporting year totalled £5k; £3k of general donations, £1k of restricted donations and £1k of interest on deposit at COIF.

Total expenditure incurred in achieving the charity's objectives totalled £7k. This was split between "activities in furtherance of the Charities objectives" (£5k) and Governance costs (£2k). The activities in furtherance of the Charities objectives can be split between patient's welfare costs of £3k and staff welfare costs of £2k.

Total Governance costs for the financial year were £2k. This is split between the Independent Examination fee of £1k and the Admin fee of £1k.

### Analysis of Resources Expended



Patients Welfare – The majority of this expenditure was incurred as follows:

- Providing for socially engaging activities that enable service users to develop their skills and confidence as part of their recovery to promote healthy social inclusion.
- Provision of a Peace Garden at the Ken Porter Ward

Staff Welfare – The majority of this expenditure was incurred as follows:

- Provision for retirement parties for long-serving members of staff

### Review of Charity’s Financial Position

At the end of 2015/16, the Trust has total funds of £210k comprising £147k of restricted funds, £20k of unrestricted funds and £43k of endowment funds. This is considered sufficient for the Charity to continue to meet all its objectives for the foreseeable future.

### Future Plans

The Charity’s funds will continue to be managed to achieve the objectives of the charity.

### COIF Deposit Fund

Since 2008/09 excess cash have been moved to the COIF Deposit Fund. This has produced interest on the balance, although the rate of return is much lower in recent years due to the low UK interest rates.

### **Reserves Policy**

In arriving at an appropriate reserves policy, the Trustee has considered the following:

- The Trustee has a duty to manage the cash reserves of the Charity efficiently. Any cash that is not immediately required is held in the COIF Deposit Fund
- Charity reserves, as defined by the Charity Commission, are 'funds freely available for its general purpose' and this definition excludes investment assets.
- The Trustee of the Charity is under a general legal duty to 'apply' (in practice this means 'expend') and not accumulate income. Charity Commission guidance on this issue, determines that the income of a charity should be applied to its specified purposes within a 'reasonable period of receipt' (currently between 6 months to 2 years).
- Levels of future income flows are never guaranteed and it is prudent to keep reserves that enable the Charity to continue meeting its regular commitments.
- Income may be retained beyond this 'reasonable period' if, in the considered opinion of the Trustee, it is implementing an action necessary for the Charity to function properly i.e. the holding of specified income reserve balances.

The Charity is taking steps to ensure that all these policies are being followed.

At the end of 2015/16, the Charity has reserves of £210k of which £43k are endowment funds. The reserves are required to meet the short to medium term requirements of the Charity.

### **Acknowledgements**

The Trustee wishes to express its gratitude to all staff and the general public for donations and other support to the activities of the Charity.

### **Declaration**

I declare, in my capacity of Chairman of the body which is the sole Charity Trustee, that the Trustee has approved the report above; and has authorised me to sign it on their behalf.

Signed:

Full name:

Date:

Position: